

**Running title:** AML treated with venetoclax and azacitidine

**Real-world clinical outcomes of newly diagnosed AML unfit for intensive chemotherapy treated with venetoclax and azacitidine: does it depend on the number of days of venetoclax administration?**

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Until 2020, patients with acute myeloid leukemia (AML) who were not suitable for intensive treatment were mostly limited to symptomatic and palliative care, or to low-intensity regimens including low-dose cytosine-arabioside (ARA-C) and azacitidine (AZA) monotherapy, which didn't bring much benefit. The situation changed with the arrival of venetoclax, a Bcl-2 inhibitor that causes leukemic cells to rapidly undergo apoptosis. The aim of our retrospective study was to summarize the treatment outcomes of all newly diagnosed patients with AML, unsuitable for intensive chemotherapy, treated with a combination of venetoclax and AZA at the Department of Hematology and Transfusion Medicine, University Hospital in Bratislava from January 1, 2021, to December 31, 2025. A total of 108 patients underwent treatment with a median follow-up of 35.9 months; median age was 69.5 years (47-84 years) and median number of cycles 3 (1-34). Induction mortality rate was 7.4%, and tumor lysis syndrome occurred in 4.5%. The overall response rate, which included the number of complete remissions, complete remissions with incomplete hematopoietic recovery, and morphologically leukemia-free status (CR/CRi/MLFS), was 64%, the median overall survival was 9 months, and disease-free survival was 8 months. As of December 31, 2025, 23 patients are alive and 85 have died. The main cause of death was the progression of the disease. The main contribution of our study is the finding that shortening the duration of venetoclax treatment maintains efficacy. There was no significant difference in remission rate achieved or in overall survival based on the number of days of venetoclax administration (< 14 vs. 14 vs. 21 vs. 28 days). The combination of AZA and venetoclax in AML has proven to be highly effective in inducing complete remission, usually immediately after the first cycle. Unfortunately, remission is not long-lasting, relapses are frequent, and the median overall survival in real-world data is 7.9 to 13.6 months.

**Key words:** acute myeloid leukemia; azacitidine and venetoclax; clinical characteristics; survival; dose-dependence of venetoclax

For many decades, before introduction of venetoclax into acute myeloid leukemia (AML) therapy, patients considered unfit for intensive chemotherapy were managed supportively. Since 2020 situation has changed dramatically - based on the results of VIALE-A trial-venetoclax (VEN) in

combination with azacitidine (AZA) has become the standard of care for the first line treatment of AML for unfit patients. This trial showed that AZA plus VEN compared to AZA monotherapy induced a significantly higher CR/CRi rate (66.4% vs. 28.3%) with significant improvement in the median OS (14.7 months vs. 9.6 months) [1]. As expected, routine clinical practice and data from real-world studies (RWS) showed poorer outcomes. The median overall survival (OS) for AZA-VEN in RWS ranges from 7.9 to 13.6 months [2-7]. The most significant complication of the AZA-VEN regimen is its myelosuppression that is associated with a higher risk of infectious complications. It remains an open question whether shortening the duration of venetoclax administration-which results in a shorter period of neutropenia during induction and minimizes the risk of infectious complications-will affect the remission rate and overall survival. Only few retrospective studies suggest that shorter VEN cycles may maintain efficacy with enhanced safety [8-10]. The main goal of our study, is to analyze characteristics and outcomes of AML patients treated with AZA-VEN, that have not yet been published by any hematology center in Slovakia. The secondary goal is to analyze whether shortening the duration of venetoclax treatment maintains efficacy while reducing myelotoxicity.

## Patients and methods

**Study design.** This was a single-center retrospective analysis of AML patients treated at the University Hospital in Bratislava, Slovakia. Analysis included all newly diagnosed patients treated with combination AZA-VEN from January 2021 (when venetoclax became available) to December 2025. The diagnosis was established from a bone marrow aspirate based on morphological examination and flow cytometry. All patients had done cytogenetic analysis performed by standard G-banding and molecular testing performed by polymerase chain reaction (PCR). The study was approved by the local Ethics Committee of University Hospital Bratislava (Approval no. EK 1/3/2025). Written informed consent was obtained from all patients.

**Profiling, stratification and treatment.** Since January 2023 mutational profiling was performed by next-generation sequencing (NGS), using 58 genes (oncoReveal Myeloid Panel). Patients were risk-stratified based on the 2022 European LeukemiaNet (ELN) criteria [11]. In addition, we also retrospectively applied ELN 2024 classification, created for patients treated with less intensive therapy, based on 4-gene prognostic model, which includes *TP53*, *FLT3*-ITD, *NRAS*, and *KRAS* mutations [12]. Secondary AML was defined as having had a documented antecedent hematologic neoplasm. Patients with therapy-related AML received prior chemotherapy and/or radiotherapy for another malignancy.

84 The treatment protocol consisted of azacitidine 75 mg/m<sup>2</sup> subcutaneously days 1-7, plus venetoclax  
85 100 mg on day 1, 200 mg on day 2, then 400 mg daily starting on day 3, for 7, 14, 21 or 28 days-  
86 depending on the physician's decision. The decision was made at the start of treatment, we mainly  
87 used VEN 21-28 during the first years after the regime was introduced (2021-2022) and VEN ≤ 14  
88 in the later period (2023-2025), especially in frail patients. All patients after the initial ramp-up who  
89 had severe neutropenia with an ANC below  $0.5 \times 10^9/l$  received antifungal prophylaxis with  
90 posaconazole, and the dose of venetoclax was reduced to 100 mg daily. No other anti-infective  
91 prophylaxis was administered. Those patients at high risk of tumor lysis syndrome (TLS)-with high  
92 white blood count, renal impairment and elevated uric acid-were admitted to hospital for the ramp-  
93 up and then discharged if stable, while others were treated entirely on an outpatient basis, unless  
94 requiring admission for febrile neutropenia or other complication. Transfusion thresholds were  
95 generally  $15 \times 10^9/l$  for platelets and hemoglobin 85 g/l for red cells. Remission status was assessed  
96 by BM aspirate on day 21-28 of cycle 1. Patients with residual leukemia immediately proceeded to  
97 a second identical course; those in a leukemia-free state received G-CSF Filgrastim and after  
98 hematologic recovery (ANC above  $1.0 \times 10^9/l$  and Plt above  $50 \times 10^9/l$ ) proceeded to maintenance  
99 therapy with AZA-VEN. Dose adjustments during post remission maintenance therapy were made  
100 based on hematologic toxicity according to physician judgment. The most common dosage  
101 adjustment for recurrent G4 neutropenia/thrombocytopenia lasting  $\geq 7$  days was shortening VEN  
102 administration to 14 days or less, and for severe bone marrow hypocellularity, shortening AZA  
103 administration to 5 days. Overall survival (OS) was defined as the number of days from the date of  
104 diagnosis to the date of death. Disease-free survival (DFS) was defined as the time from achieving  
105 complete remission to relapse or death, whichever occurs first. Responders included patients who  
106 achieved complete remissions, complete remissions with incomplete hematopoietic recovery (CR,  
107 CRi) and morphologic leukemia-free state (MLFS), non-responders included patients who achieved  
108 partial response (PR), and progressive disease (PD) using the revised International Working Group  
109 (IWG) response criteria [13].

110 **Statistics.** Statistical analyses were performed using the IBM SPSS software (version 26.0).  
111 Categorical variables were analyzed and compared by Chi square analysis. Survival determinations  
112 were made using the Kaplan-Meier method and compared using the log rank test. Two-sided p-  
113 values of less than 0.05 were considered significant.

114

## 115 Results

116 We treated 108 patients, median age 69.5 years (47-84 years), median follow-up 35.9 months. The  
117 patient characteristics are shown in Table 1. Antecedent hematologic neoplasm included 9 patients

(8%) with myelodysplastic neoplasms (MDS), all treated with AZA (median number of submitted cycles was 7), 7 patients (6%) with MDS/MPN overlap syndrome and 4 patients (4%) with myeloproliferative neoplasms (MPN). 16 patients underwent alloHSCT. Per ELN 2022 criteria, 14 patients (13%) had favorable, 47 (43,5%) intermediate, and 47 (43,5%) adverse risk diseases. Using ELN 2024 classification based on 4-gene prognostic model, 76 patients (70%) had higher benefit, 15 (14%) intermediate benefit, and 17 (16%) lower benefit disease. NGS mutation profile was performed to 51 patients. The most common mutations detected at baseline included NPM1 (14%), ASXL1 (10%), SRSF2 (10%), RUNX1 (10%), FLT3-ITD (10%) and others listed in Figure 1. Before starting treatment with AZA-VEN, cytoreduction with hydroxyurea was necessary in 30 patients (28%), Ara-C 500 mg IV given in 2 doses at 12 hours were administered to 4 patients, and leukapheresis was performed in 3 patients. Laboratory tumor lysis syndrome occurred in 4.5% of cases. Induction mortality within 30 days of treatment initiation was observed in 8 patients (7.4%). They died from infectious complications (5×), treatment toxicity (2×), and CNS hemorrhage (1×). From 01/2021 to 04/2023 we recorded 7 deaths, from 05/2023 to 12/2025: 1 death. The first cycle of treatment was administered on an outpatient setting in 33% of patients, while the remaining 67% were hospitalized. The median number of cycles administered was 3. The overall response rate (CR/CRi/MLFS) was 64%, while the partial or no response rate was 36%. The therapeutic response occurred immediately after the first cycle in up to 80% of cases. Seven patients have maintained complete remission for more than three years. The median overall survival for the entire patient group was 9 months, and disease-free survival was 8 months (Figure 2). If the patient did not achieve remission during treatment, the median survival was only 4 months. CR/CRi rates were similar in patients receiving VEN for <14 (n=32, 56%) versus 14 (n=41; 56%) versus 21 (n=20; 60%) versus 28 days (n=13; 77%; p=42). In addition, the median OS according to the number of days of venetoclax administration < 14 days, 14 days, 21 days, and 28 days was also not statistically significant 8, 11, 8, and 9 months, respectively (p=0.884; Figure 3). In 2 patients the number of VEN days was unknown. Using the ELN 2022 risk classification median OS among the favorable, intermediate, and adverse risk groups were not reached, 11 and 8 months (p=0.005). However, upon using the 4-gene prognostic model, according ELN 2024 classification median OS among the higher, intermediate, and lower benefit risk groups were 11, 11 and 7 months (p=0.013; Figure 4). Seventeen patients with TP53 mutation, 11 of whom had also complex karyotypes, responded less well to treatment, with an ORR of 41%, and all but one relapsed. Their median overall survival was 7 months. The median overall survival of patients with baseline leukocytosis above  $50 \times 10^9/l$  and below  $50 \times 10^9/l$  was not significantly different, 10 vs. 9 months. Of the 16 patients who underwent alloHSCT, 5 patients are still alive and 11 died, 5 of them from early relapse and 6 from transplant-

related mortality (acute intestinal GVHD-2 $\times$ , graft failure, intracranial hemorrhage, post-transplant lymphoproliferative disorder-PTLD and sepsis). The median overall survival of patients who underwent alloHSCT vs. those who did not undergo alloHSCT was not significant, 10 months vs. 9 months (p=0.558) (Figure 3). Patients with extramedullary disease survived for a median of 4 months. Of the total group of 108 patients, 23 are alive and 85 have died. The causes of death were progressive disease (78%), infections (8%), transplant related mortality (7%) and other causes (7%) that are listed in Table 2. Nine patients died in complete remission.

## Discussion

The median overall survival result for our cohort, which is 9 months, is comparable to RWS, where it ranges from 7.9 to 13.6 months. These real-world results are significantly worse than those reported in the Viale A registry study, which showed a median survival of 14.7 months. One explanation may be that studies from routine clinical practice also included patients who were already receiving hypomethylation therapy for a previous MDS, secondary AML after MPN, MDS/MPN, and AML with extramedullary involvement, who were excluded from the Viale A study.

The AZA-VEN regimen is safe when we learn how to use it correctly. The risk of TLS is low if we start treatment when the white blood cell count is less than  $25 \times 10^9/l$  and we take all preventive measures-adequate hydration, allopurinol, and gradual ramp-up of venetoclax (100 mg - 200 mg - 400 mg) [14]. The most serious complications of treatment result from long-term cytopenia. In particular, secondary AML after MDS, which we treated with venetoclax for 28 days, reconstituted the blood count very slowly and was subject to serious infectious complications. Between August 2020 and April 2023, we had seven deaths. Subsequently, when we began to modify the number of days of venetoclax administration to 21 or 14 days, the number of deaths decreased. Between May 2023 and December 2025, we recorded one death.

It is known that such a shortened regimen shortens the duration of cytopenia, but it is questionable whether it doesn't lead to poorer responses to treatment and poorer overall survival. The greatest benefit of our work is the finding that despite the high number of shortened regimens-68% of patients received venetoclax for 14 days or less-the number of overall responses was comparable to the Viale A study, 64% vs. 66.4%. An equally important finding was that patients receiving 14 days of venetoclax or less had a comparable median overall survival to patients receiving 21 and 28 days of venetoclax. The data suggest that the regimen with fewer days of venetoclax administration is equally effective and less myelosuppressive with a lower risk of infectious complications than the full 28-day protocol regimen. We assume that shortening the administration of venetoclax doesn't

186 affect its effectiveness because the anti-leukemic effect with blast clearance happens very quickly.  
187 The most important is the time-dependent synergy between AZA and VEN during the first 7 days of  
188 cycle, when AZA primes the cells for apoptosis and VEN blocks BCL-2, which triggers MOMP  
189 (mitochondrial outer membrane permeabilization), leading to apoptosis.

190 The ELN 2022 classification is not suitable for AML patients receiving non-intensive treatment, as  
191 no significant differences in survival were observed between the groups. Mutations that worsen the  
192 prognosis in intensive chemotherapy treatment (e.g., myelodysplasia-related mutations such as  
193 ASXL1, RUNX1, SRSF2) do not have the same adverse prognostic significance in AZA-VEN  
194 treatment [15-16]. Consequently, the ELN 2024 classification was developed based on 4 genes:  
195 TP53, FLT3-ITD, NRAS, and KRAS. Our study confirmed that both classifications have prognostic  
196 significance; this was likely due to the fact that NGS did not become the standard of care until  
197 January 2023, and until then, patients with aforementioned MR genes remained undiagnosed and  
198 were classified as intermediate risk rather than adverse risk.

199 The AZA-VEN regimen is not considered curative. Nevertheless, our cohort includes seven patients  
200 who have been in complete remission for more than three years. The question of when it is safe to  
201 discontinue treatment and whether treatment can actually lead to a cure in a very small portion of  
202 patients remains unanswered. Unfortunately, most patients die from refractory or relapsed AML, so  
203 the possibility of allogeneic hematopoietic stem cell transplantation must always be considered. In  
204 some patients, 15% in our cohort, performance status improves after achieving remission to such an  
205 extent that they are able to undergo this treatment modality. HSCT did not bring to our 16 patients  
206 any benefit in terms of overall survival, which can be explained by the patients' high-risk genetic  
207 profile- especially by the presence of TP53 mutation and four HSCT were salvage-outside CR.  
208 Patients with TP53 mutation, which often occurs in conjunction with complex karyotype, represent  
209 a major medical problem. The number of achieved remissions is the lowest compared to other  
210 mutations, and remission has very short duration [17-18]. In our cohort only 5 months. The only  
211 chance for longer-term overall survival is HSCT, but this also helps only a very small percentage of  
212 patients.

213

214 Acknowledgements: This study has limitations that must be acknowledged. The non-randomized  
215 allocation of treatment duration with venetoclax introduces potential selection bias, particularly as  
216 frailer patients were more likely to receive shorter therapeutic cycles, which may have confounded  
217 outcome assessments. Prospective validation is warranted to refine risk-adapted dosing strategies.

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219

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292 **Figure Legends**

293

294 **Figure 1.** Frequency of genetic aberrations.

295

296 **Figure 2.** Overall survival of all patients (N=108) and Disease free-survival of the CR/CRi patients  
297 (N=65).

298

299 **Figure 3.** Overall survival: According to venetoclax dosage and HSCT performed.

300

301 **Figure 4.** Overall survival: According to ELN 2022 and ELN 2024 risk classification.

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303  
304

**Table 1.** Patient characteristics.

305

|                              |              |
|------------------------------|--------------|
| <b>Total</b>                 | <b>108</b>   |
| Age, median (range), years   | 69.5 (47-84) |
| male/female                  | 53/55        |
| ELN 2017/2022 risk category  |              |
| favorable                    | 14 (13%)     |
| intermediate                 | 47 (43.5%)   |
| adverse                      | 47 (43.5%)   |
| ELN 2024 classification      |              |
| higher benefit disease       | 76 (70%)     |
| intermediate benefit disease | 15 (14%)     |
| lower benefit disease        | 17 (16%)     |
| Prior HMA for MDS            | 9 (8%)       |
| Secondary AML                | 20 (18%)     |
| Therapy-related              | 4 (4%)       |
| Underwent alloHSCT           | 16 (15%)     |
| Extramedullary disease       | 7 (7%)       |

326

327 **Table 2.** Causes of death.

|                           |           |
|---------------------------|-----------|
| <b>Refractory disease</b> | <b>29</b> |
| <b>Relapse</b>            | <b>37</b> |
| Infection                 | 7         |
| TRM                       | 6         |
| Toxicity                  | 3         |
| CNS hemorrhage            | 1         |
| Pulmonary embolism        | 1         |
| Unknown                   | 1         |

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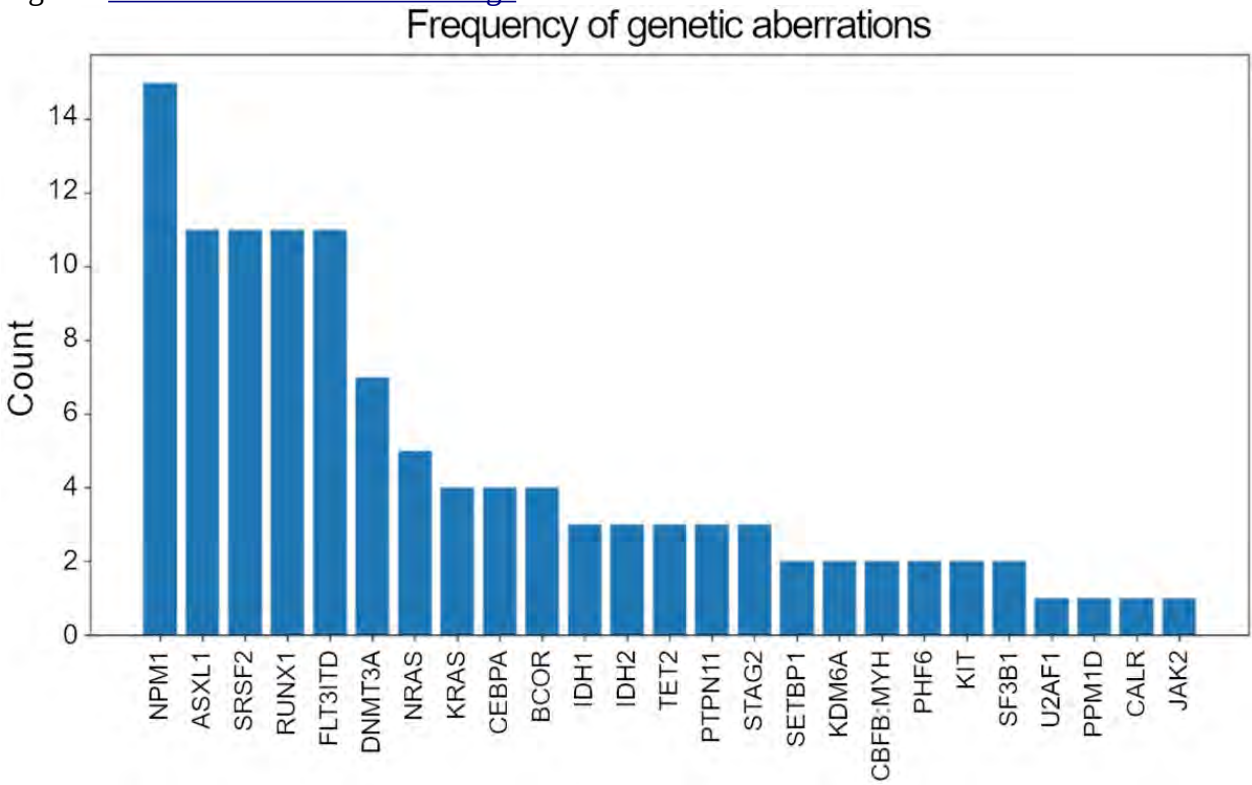


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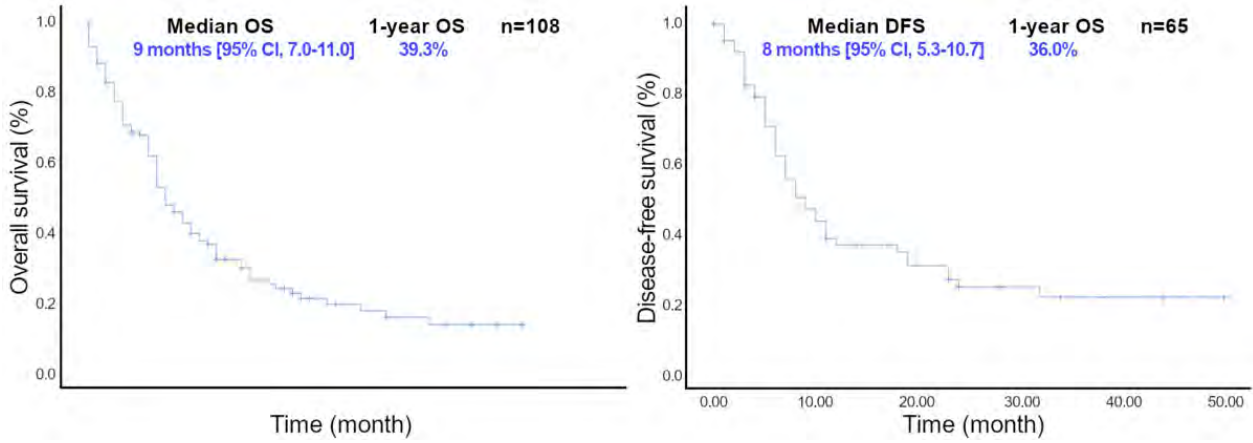


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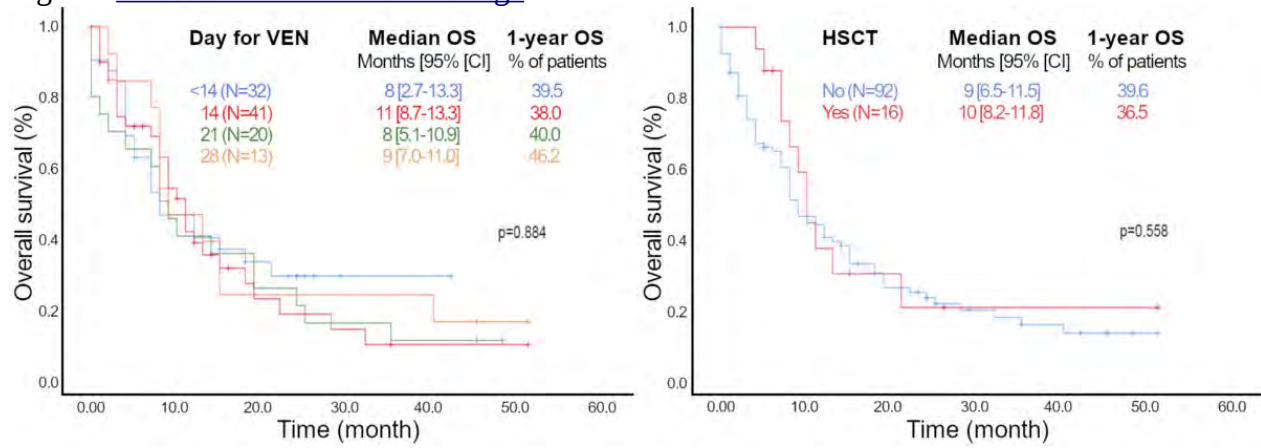


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